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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER CDM/2353.0017

J.SAPPTICA/ION NO (Hknown 9e a7 CFR 1.5

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CA2005/000158 February 9, 2005 February 9, 2004 TITLE OF INVENTION CONTROLED NUCLEATION OF SOLUTES IN SOLUTIONS HAVING NET CHARGE TO PROMOTE CRYSTAL GROWTH APPLICANT(S) FOR DO/EO/US Simon Fraser University Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31).  $\boxtimes$ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US).  $\boxtimes$ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNSIGNED) 9.. 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.  $\boxtimes$ A preliminary amendment.  $\boxtimes$ 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4),

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 3

20 Rec'd PCT/PTO 08 AUG 2006

PTO-1390 (Rev. 07-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/CA2005/000158 CDM/2353.0017 Other items or information: PCT Request; PCT Int'l Search Report; Written Opinion The following fees are submitted: CALCULATIONS PTO USE ONLY Basic national fee (37 CFR 1.492(a))......\$300 \$ 300 If the written opinion prepared by ISA/US to the international preliminary examination report prepared By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 \$ 200 All other situations ......\$200 23. Search fee (37 CFR 1.492(b)) f the written opinion prepared by ISA/US to the international preliminary examination report prepared \$ 400 nternational Search Report prepared by an ISA other than the US and provided in the Office or previously communicated to the US by the IB......\$400 All other situations. TOTAL OF 21, 22 and 23 = \$ 900 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821 (c) or (e) computer program listing filed in an electronic medium) (37 CFR 1.492(i)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 113 - 100 = 13/50 =\$ 750 \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)) **CLAIMS** NUMBER FILED NUMBER EXTRA RATE 37 Total claims - 20 = \$50 \$ 1850 1 Independent claims - 3 = \$200 \$ 200 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = \$3,700 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1,492(f)). **TOTAL NATIONAL FEE =** \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$3,700 Amount to be \$ refunded: Amount to be charged:

### 10/588694

PTO-1390 (Rev. 07-2005)

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a. 🛛 A check in the amount of \$ <u>3,700</u> to cover the above fees is enclosed.	
b.   Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.	
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of Deposit Account No. 03-1550. A duplicate copy of this sheet is enclosed.	
d. Fees are to be charge to a credit card. <b>WARNING</b> : Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status.	
·	
SEND ALL CORRESPONDENCE TO:	Chs.
	SIGNATURE
CHERNOFF, VILHAUER, McCLUNG & STENZEL LLP Attorneys at Law 1600 ODS Tower 601 S.W. Second Avenue Portland, Oregon 97204-3157	Charles D. McClung
	NAME
	26,568
	REGISTRATION NUMBER
·	

# \$\\ \frac{\\$40\\$588694}{\\$AP20\\ Rec'd\\ PCT/PTO\ 0\ 8\\ AUG\ 2006\\ CERTIFICATE OF MAILING

#### BY "EXPRESS MAIL"

Express Mail No.:

EV 732482705 US

Date of Deposit:

August 8, 2006

Title:

CONTROLLED NUCLEATION OF SOLUTES IN SOLUTIONS

HAVING NET CHARGE TO PROMOTE CRYSTAL GROWTH

Applicant:

Agnes, George R.

I hereby certify that a U.S. National Phase Entry Under PCT Chapter II

International Utility Patent Application, including Specification (91 pgs.), with: (1) Transmittal

Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission

Under 35 U.S.C. 371 (3 pgs., in duplicate); (2) Application Data Sheet 37 CFR 1.76 (4 pgs.);

(3) unsigned Declaration (2 pgs.); (4) Preliminary Amendment (7 pgs.); (5) PCT International

Publication No. WO 2005/075717 A1 (2 pgs.); (6) PCT Written Opinion of the Int'l Searching

Authority (7 pgs.); (7) PCT Int'l Search Report (5 pgs.); (8) 22 sheets of drawings (FIGs. 1-29);

(9) Check in the amount of 3,700 for the filing fee; and (10) Certificate of Mailing by "Express

Mail" EV732482705 US.

Owight Bergquist-Moody